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FORM 8-3 Express Mail Certificate

Navy Case No. 95801

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ROBERTS et.al.

Application No.: 10/699,440

Group No.: 1774

Filed: 10/29/2003

Examiner:

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

EXPRESS MAIL CERTIFICATE

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Date of Deposit: April 6, 2006

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Transmittal Form	1 pg
Fee Transmittal Form	2 pgs
USPTO Notice of Informal Application	1 pg
Declaration	4 pgs
Return Post Card	1 card

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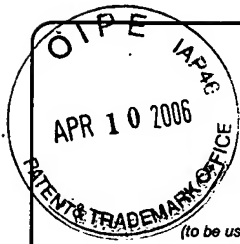
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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number	10/699,440
Filing Date	10/29/2003
First Named Inventor	ROBERTS, et. al.
Art Unit	1774
Examiner Name	
Total Number of Pages in This Submission	8
Attorney Docket Number	95801

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits / declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing - related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interference's <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (Please identify below) - Declaration - Return Post Card
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Remarks

RESPONSE TO USPTO "NOTICE OF INFORMAL APPLICATION"

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	David S. Kalmbaugh	29,234
Signature		
Date	APRIL 10, 2006	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name			
Signature		Date	

This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 10 2006

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Effective 12/08/04.

Complete if known

Fees are subject to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL
FOR FY 2005**

Application Number	10/699,440
Filing Date	10/29/2003
First Named Inventor	ROBERTS et.al.
Examiner Name	
Art Unit	
Attorney Docket No.	95801

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$130.00

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-0931 Deposit Account Name: NAWCWD - Pt Mugu/ China Lake

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee (s) indicated below ☐ Charge fee (s) indicated below, except for the filing fee

☒ Charge any additional fee (s) or any underpayment of fee(s) under CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

	Small Entity Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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-20 or HP = X =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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-3 or HP = X =

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims

Fee (\$)	Fee Paid (\$)
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3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 USC 411(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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-100 = / 50 = (round up to a whole number) X =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge (Declaration)

\$130.00

SUBMITTED BY

(Complete if applicable)

Name (Print/ Type)	David S. Kalmbaugh	Registration No. (Attorney / Agent)	29,234	Telephone	(805) 989-8266
Signature	[Signature]			Date	10 Apr 2006

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/699,440	10/29/2003	M. Joseph Roberts	95801

CONFIRMATION NO. 3258

NAVAL AIR WARFARE CENTER WEAPONS DIVISION
 CODE K00000D
 1 ADMINISTRATION CIRCLE STOP 1009
 RIDGECREST, CA 93555-6100

FORMALITIES LETTER



OC000000011849165

Date Mailed: 02/06/2004

NOTICE OF INFORMAL APPLICATION

This application is considered to be informal since it does not comply with the regulations for the reason(s) indicated below. The period within to correct the informalities noted below and avoid abandonment is set in the accompanying Office action.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.

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